

FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known

| | |
|----------------------|------------------------|
| Application No. | 09/833,029 |
| Filing Date | April 10, 2001 |
| First Named Inventor | Jason B. Elledge |
| Group Art Unit | 3723 |
| Examiner | Eziamara Anthony Ojini |
| Atty. Docket Number | 500188.03 |

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 770 | 2001 | 385 | <input type="checkbox"/> Utility Filing Fee |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee |
| 1003 | 530 | 2003 | 265 | <input type="checkbox"/> Plant Filing Fee |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Provisional Filing Fee |

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|-------------|----------|
| Total | 19 | 20 | 0 x \$ 18 = | \$ 0 |
| Ind. | 5 | 5 | 0 x \$ 86 = | \$ 0 |
| Multiple Dependent Claims | | | x \$ = | \$ |

Subtotal (2) \$ 0

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent Claim |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | \$ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 1053 | 130 | 1053 | 130 | Non-English specification | \$ |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for <i>ex parte</i> Reexamination | \$ |
| 1804 | 920 | 1804 | 920 | Requesting publication of SIR prior to Examiner action | \$ |
| 1805 | 1,840 | 1805 | 1,840 | Requesting publication of SIR after Examiner action | \$ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ |
| 1252 | 420 | 2252 | 210 | Extension for reply within 2 nd month | \$ |
| 1253 | 950 | 2253 | 475 | Extension for reply within 3 rd month | \$ |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within 4 th month | \$ |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within 5 th month | \$ |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | \$ |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | \$ |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | \$ |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | \$ |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | \$ |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | \$ |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee | \$ |
| 1502 | 480 | 2502 | 240 | Design issue fee | \$ |
| 1503 | 640 | 2503 | 320 | Plant issue fee | \$ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | \$ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 1806 | 180 | 1806 | 180 | Submission of IDS | \$ |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | \$ 770 |
| Other fee (specify) | | | | | \$ |

Subtotal (3) \$ 770

Total Amount of Payment: \$ 770

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8800

Signature:

Date: 4-5-04

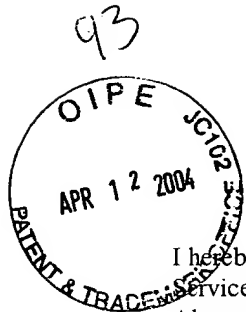


Image
RCE/3723
PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 6, 2004
Date

Phoebe E. Pogson
Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/833,029 Confirmation No. : 1338
Applicant : Jason B. Elledge
Filed : April 10, 2001 Attorney Docket No. : 500188.03
Art Unit : 3723 Customer No. : 27,076
Examiner : Eziamara Anthony Ojini
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING
MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF
MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND
USING THE SAME

REQUEST FOR CONTINUED EXAMINATION (RCE)
UNDER 37 C.F.R. § 1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests continued examination, under 37 C.F.R. § 1.114, of the above-identified application.

1. Submission Required Under 37 C.F.R. § 1.114

- a. ☐ Submission previously submitted
- i. ☐ Consider the amendment/reply under 37 C.F.R. § 1.116 previously filed on _____.
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.

iii. ☐ Consider the Information Disclosure Statement (IDS) previously filed on _____.

- b. ☒ Submission enclosed
- i. ☐ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☒ Information Disclosure Statement (IDS)

2. Suspension of Action (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required)

☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ____ months.

3. Accompanying Papers


- ☒ Postcard
- ☒ Check
- ☒ Fee Transmittal Sheet
- ☐ Petition

4. Deposit Account

☒ The Commissioner is authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1266.

Respectfully submitted,

Date: 4-8-04

By: 
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